

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Not for submission under 37 CFR 1.99)

Application Number		10665754
Filing Date		2003-09-19
First Named Inventor	JAMES J. PAGLIUCA	
Art Unit	3734	
Examiner Name	VI X. NGUYEN	
Attorney Docket Number	1291.1139101	

**U.S. PATENTS**[Remove](#)

Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	3044461		1962-07-17	Murdock	
	2	3789852		1974-02-05	Kim et al.	
	3	4449532		1984-05-22	Storz	
	4	4451256		1984-05-29	Weiki et al.	
	5	4545374		1985-10-08	Jacobson	
	6	4573448		1986-03-04	Kamblin	
	7	4863133		1989-09-05	Bonnell	
	8	4899729		1990-02-13	Gill et al.	

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10665754
Filing Date	2003-09-19
First Named Inventor	JAMES J. PAGLIUCA
Art Unit	3734
Examiner Name	VI X. NGUYEN
Attorney Docket Number	1291.1139101

9	4921478		1990-05-01	Solano et al.	
10	4984564		1991-01-15	Yuen	
11	5163949		1992-11-17	Bonutti	
12	5197971		1993-03-30	Bonutti	
13	5295994		1994-03-22	Bonutti	
14	5312417		1994-05-17	Wilk	
15	5345927		1994-09-13	Bonutti	
16	5370647		1994-12-06	Graber et al.	
17	5395317		1995-03-07	Kambin	
18	5454365		1995-10-03	Bonutti	
19	5601590		1997-02-11	Bonutti et al.	

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10665754
Filing Date	2003-09-19
First Named Inventor	JAMES J. PAGLIUCA
Art Unit	3734
Examiner Name	VI X. NGUYEN
Attorney Docket Number	1291.1139101

20	5667520		1997-09-16	Bonutti	
21	5707359		1998-01-13	Bufalini	
22	5762629		1998-06-09	Kamblin	
23	5795289		1998-08-18	Wytenbach	
24	5865802		1999-02-02	Yoon et al.	
25	5902231		1999-05-11	Foley et al.	
26	5961499		1999-10-05	Bonutti et al.	
27	6120437		2000-09-19	Yoon et al.	
28	6171299		2001-01-09	Bonutti	
29	6175758		2001-01-16	Kamblin	
30	6338730		2002-01-15	Bonutti et al.	

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10665754
Filing Date	2003-09-19
First Named Inventor	JAMES J. PAGLIUCA
Art Unit	3734
Examiner Name	VI X. NGUYEN
Attorney Docket Number	1291.1139101

31	6358266		2002-03-19	Bonutti	
32	6524320		2003-02-25	DiPoto	
33	6530880		2003-03-11	Pagliuca	
34	6530926		2003-03-11	Davison	
35	6648888		2003-11-18	Shluzas	
36	6800084		2004-10-05	Davison et al.	
37	6811558		2004-11-02	Davison et al.	
38	6821243		2004-11-23	Pagliuca et al.	
39	6837889		2005-01-04	Shluzas	
40	6837891		2005-01-04	Davison et al.	
41	7001397		2006-02-21	Davison et al.	

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10665754
Filing Date	2003-09-19
First Named Inventor	JAMES J. PAGLIUCA
Art Unit	3734
Examiner Name	VI X. NGUYEN
Attorney Docket Number	1291.1139101

42	7004947		2006-02-28	Shluzas et al.	
43	7033369		2006-04-25	Davison et al.	
44	7056321		2006-06-06	Pagliuca et al.	
45	7066937		2006-06-27	Shluzas	
46	7108705		2006-09-19	Davison et al.	
47	7144393		2006-12-05	DiPoto et al.	
48	7223278		2007-05-29	Davison et al.	

If you wish to add additional U.S. Patent citation information please click the Add button.

Add

**U.S.PATENT APPLICATION PUBLICATIONS**

Remove

Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	20030014068		2003-01-16	Bonutti et al.	
	2	20040097907		2004-05-20	DiPoto	

If you wish to add additional U.S. Published Application citation information please click the Add button.

Add

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number	10665754
Filing Date	2003-09-19
First Named Inventor	JAMES J. PAGLIUCA
Art Unit	3734
Examiner Name	VI X. NGUYEN
Attorney Docket Number	1291.1139101

FOREIGN PATENT DOCUMENTS								Remove
Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup> i	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
	1	0807415	EP		2003-12-03	Olympus Optical Co., Ltd.		<input type="checkbox"/>
	2	0154560	WO		2001-08-02	Endius Incorporated		<input type="checkbox"/>

If you wish to add additional Foreign Patent Document citation information please click the Add button [Add](#)

NON-PATENT LITERATURE DOCUMENTS								Remove
Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.						T <sup>5</sup>
	1	Ditsworth, "Endoscopic Transforaminal Lumbar Discectomy and Reconfiguration: A Posterolateral Approach into the Spinal Canal," Surg. Neurol., 49: 588-598, 1998.						<input type="checkbox"/>
	2	ENDIUS, Atavi Atraumatic Spine Fusion System Marketing Bulletin, "How Do I Decompress Using Atavi System?," March 4, 2002.						<input type="checkbox"/>
	3	ENDIUS, Atavi Atraumatic Spine Fusion System Marketing Bulletin, "Minimally Invasive Update on Danek," April 12, 2002.						<input type="checkbox"/>
	4	Foley et al., "Percutaneous Pedicle Screw Fixation of the Lumbar Spine," Neurosurg. Focus, 4 (10): 1-8, April 2001.						<input type="checkbox"/>
	5	Guiot et al., "A Minimally Invasive Technique for Decompression of the Lumbar Spine," 4 (27): 432-438, 2002.						<input type="checkbox"/>
	6	Kamin, "Arthroscopic Lumbar Interbody Fusion," Spine Care White AH, 77: 1055-1056, 1995.						<input type="checkbox"/>

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	10665754
Filing Date	2003-09-19
First Named Inventor	JAMES J. PAGLIUCA
Art Unit	3734
Examiner Name	VI X. NGUYEN
Attorney Docket Number	1291.1139101

7	Kambin, "Posterolateral Percutaneous Lumbar Interbody Fusion," <i>Arthroscopic Microdiscectomy, Minimal Intervention In Spinal Surgery</i> , 9: 117-121, 1991.	<input type="checkbox"/>
8	MED presentation materials, "MicroEndoscopic Discectomy System," 33 pgs., 1997.	<input type="checkbox"/>
9	Medtronic SOFAMOR DANEK, "Minimal Access Spinal Technologies," <i>Orthopedics Today</i> , 1-20, 2002.	<input type="checkbox"/>
10	Medtronic SOFAMOR DANEK, "METRx Microdiscectomy Surgical Technique," as described by Donald L. Hilton Jr., M.D., F.A.C.S. and Sylvain Palmer, F.A.C.S., 19 pgs., 2001.	<input type="checkbox"/>
11	Medtronic SOFAMOR DANEK, "The Next Step in Minimally Invasive Discectomy Utilizing the Operating Microscope," 2 pgs., 2000.	<input type="checkbox"/>
12	Medtronic SOFAMOR DANEK, "An Evolution in Minimally Invasive Spine Surgery," METRx, MicroEndoscopic Discectomy, 6 pgs., 1999.	<input type="checkbox"/>
13	Stauber et al., "Pedicicle Screw Placement with Intraseous Endoscopy," <i>SPINE</i> , 1 (19): 57-61, 1994.	<input type="checkbox"/>

If you wish to add additional non-patent literature document citation information please click the Add button

**EXAMINER SIGNATURE**

Examiner Signature	Date Considered
--------------------	-----------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.